

MATERIAL TRANSFER AGREEMENT | PRE-REVIEW QUESTIONNAIRE (MATERIAL LEAVING CAMPUS)

This form is required for all research materials leaving USA. In order to expedite review of the MTA, please answer all of the following questions to the best of your knowledge. Sign and date the completed questionnaire and send it with the Provider's MTA to the Office of Commercialization and Industry Collaboration (OCIC), by email at techtransfer@southalabama.edu. OCIC considers all MTA's to be high priority work. See MTA Procedures and Timeline on OCIC website for turnaround times on MTAs.

Investigator:

Department/Phone:

Materials:

Recipient Name, Address, & Phone:

1. Is the Material relevant to any invention disclosure you have submitted or plan to submit to OTT? Y N

If yes, provide the title and invention disclosure number:

2. Is the Material being used in any research which involves or will involve federal sponsorship? Y N

If yes, please provide agency name, date of award, and grant number:

3. Is the Material being used in any research which involves or will involve corporate sponsorship? Y N

If yes, explain:

4. Are you planning on transferring any technical information or data along with the material? Y N

If yes, explain:

5. Will the supply and/or use of the material conflict in any way with any existing agreements to which the USA/SAMSF is a party? Y N

If yes, please describe:

6. Is the Requestor Scientist a "foreign person" as defined under export control laws (that is, not a U.S. citizen and not a permanent resident alien)? Y N

If yes, then proceed to the following step:

7. Is the Receiving Institution a non-U.S. Institution? Y N

If yes, then proceed to the following step:

The shipper must complete the International Shipping Export Control Review Worksheet to help ensure those shipping internationally do not inadvertently violate export or trade laws.

Failure to follow requirements for international shipping can lead to violations of federal laws including:

- Civil penalties up to \$300,000 per violation
- Criminal penalties up to \$1 million per violation and/or up to 20 years in prison
- False export declarations fines of \$10,000

The Office of Research Compliance and Assurance will review the shipment details and provide a letter of determination. A copy of the letter must be provided to the Office of Commercialization and Industry Collaboration.

Note about Export Control laws: It is unlawful to send or take export controlled information or materials out of the United States or to transfer export controlled materials or information to "foreign persons" inside or outside the United States, unless an exemption under the regulation applies or the University obtains an export license. You may be personally liable for violating the International Traffic in Arms Regulations ("ITAR"), the Export Administration Regulations ("EAR"), or one of the many other regulations by other U.S. administrative agencies for making unlawful transfers; violation of each of these regulations is punishable by fines of up to \$1,000,000 and or prison terms up to 10 years. Material herein will not be further distributed to others outside of the individuals and entities listed above without the prior written consent of yourself and the University of South Alabama, and in any event shall not be distributed in violation of any of the U.S. export control laws. Therefore, please provide complete information below.

Please direct questions concerning export controls to the Office of Research Compliance and Assurance | Angela Williams, aswilliams@southalabama.edu, (251) 460-6509

Signature

Date